

14. If $B \approx \mathbb{N}$, $A \subset B$, and $\mathbb{N} \preceq A$, then $A \approx \mathbb{N}$.

Proof. Denote

$$B = \{b_1, b_2, \dots\}$$

Then we hope to construct a sequence representing A , i.e. $A = \{y_1, y_2, \dots\}$.

Let n_1 be the smallest integer n such that $b_n \in A$, and write $A_1 = A \setminus \{n_1\}$.

Let n_2 be the smallest integer $n > n_1$ such that $b_n \in A_1$, and write $A_2 = A_1 \setminus \{n_2\}$.

Let n_3 be the smallest integer $n > n_2$ such that $b_n \in A_2$, and write $A_3 = A_2 \setminus \{n_3\}$.

\vdots

The process can repeat permanently because $\mathbb{N} \preceq A_j$ for all $j \in \mathbb{N}$ (Why?). Since $A_j \neq \emptyset$, n_{j+1} can be chosen (from A_j). Next, to show

$$A = B_0 := \{b_{n_1}, b_{n_2}, \dots\}$$

$B_0 \subset A$ is trivial. Let $a \in A \subset B$. Then $a = b_m$ for some $m \in \mathbb{N}$. Because $1 \leq n_1 < n_2 < \dots$, we know $m \in (n_j, n_{j+1}]$. It follows that $a = b_{n_{j+1}}$. Hence $A \subset B_0$. Therefore $A = B_0$, and then $A \approx \mathbb{N}$. \square

The following two propositions are prepared for the third proposition.

15. If $A \preceq C$, then $A \approx B$ for some $B \subset C$. The reason is that, if f is one-to-one from A to C , then f is from A onto $B := f(A) \subset C$.

16. If $A \preceq B_1$ and $B_1 \approx B_2$, then $A \preceq B_2$. We derive this as follow: If f is one-to-one from A to B_1 and g is a bijection from B_1 to B_2 , then $g \circ f$ is injective from A to B_2 .

17. $\mathbb{N} \approx \mathbb{Q}^+$.

Proof. We know that $\mathbb{Q}^+ \preceq \mathbb{N} \times \mathbb{N}$. Then $\mathbb{Q}^+ \approx N$ for some $N \subset \mathbb{N} \times \mathbb{N}$. The result is immediate from preceding examples. \square

18. We can now show equinumerosity between \mathbb{Z} and \mathbb{Q} . Since we already have a one-to-one function f from \mathbb{N} onto \mathbb{Q}^+ . Let $F : \mathbb{Z} \rightarrow \mathbb{Q}$, by

$$F(x) = \begin{cases} f(x), & \text{if } x \in \mathbb{N}; \\ 0, & \text{if } x = 0; \\ -f(-x), & \text{if } x \in -\mathbb{N}. \end{cases} \quad (3)$$

Then F is bijective.

We're going to show a more astonishing property, that \mathbb{Q} contains (strictly) less elements than \mathbb{R} . Before reaching a precise proof, we need some preparation.

19. If $A \approx B$, $B \approx C$, then $A \approx C$. A simple proof is: Suppose that f, g are bijections between A, B and C . Then $g \circ f$ is bijective from A to C .

20. $\mathbb{R}^+ \approx \mathbb{R}$ because, the functions $f(x) := e^x$ and

$$g(x) = \begin{cases} x + 1, & \text{if } x \geq 0; \\ \frac{-x}{1-x}, & \text{if } x < 0. \end{cases} \quad (4)$$

are one-to-one from \mathbb{R} to \mathbb{R}^+ .

21. $\mathbb{N} \prec \mathbb{R}^+$.

Proof. It's clear that $\mathbb{N} \preceq \mathbb{R}^+$. Assume that $\mathbb{R}^+ = \{f_0, f_1, f_2, \dots\}$, written in a sequence. We list the elements below in decimal expression.

$$\begin{aligned} f_0 &= f_{00}.f_{01}f_{02}f_{03}f_{04} \cdots \\ f_1 &= f_{10}.f_{11}f_{12}f_{13}f_{14} \cdots \\ f_2 &= f_{20}.f_{21}f_{22}f_{23}f_{24} \cdots \\ f_3 &= f_{30}.f_{31}f_{32}f_{33}f_{34} \cdots \\ &\vdots \end{aligned}$$

The main idea is to construct a decimal number which is none of the f_j 's. Denote $f_\infty = f_{\infty 0}.f_{\infty 1}f_{\infty 2}f_{\infty 3} \cdots$, where

$$f_{\infty j} = \begin{cases} 7, & \text{if } f_{jj} = 3; \\ 3, & \text{Otherwise.} \end{cases} \quad (5)$$

Then $f_\infty \in \mathbb{R}^+$. It is some f_k , but $f_{\infty k} \neq f_{kk}$, a contradiction. Hence $\mathbb{N} \not\approx \mathbb{R}^+$. This means $\mathbb{N} \prec \mathbb{R}^+$. \square

22. We can now show that $\mathbb{Q} \prec \mathbb{R}$. It's well-known that $\mathbb{Q} \preceq \mathbb{R}$. Assume that $\mathbb{Q} \approx \mathbb{R}$. Since $\mathbb{N} \approx \mathbb{Q}$ and $\mathbb{R} \approx \mathbb{R}^+$, it follows that $\mathbb{N} \approx \mathbb{R}^+$, a contradiction.

The last thing we hope to verify is that $\mathbb{R} \approx \mathbb{C}$. Similarly as before, for convenience, we divid it into several parts.

23. $(0, 1) \approx (0, 1) \times (0, 1)$.

To give a precise proof, we need the help of Schröder-Bernstein Theorem, i.e. if $A \preceq B$ and $B \preceq A$ then $A \approx B$.

Proof. The map $x \mapsto (x, \frac{1}{2})$ is an injection. Define $f : (0, 1) \times (0, 1) \rightarrow (0, 1)$ by

$$(a, b) := (0.a_1a_2a_3 \cdots, 0.b_1b_2b_3 \cdots) \mapsto 0.a_1b_1a_2b_2a_3b_3 \cdots$$

where none of a, b has consecutive 9's, i.e. neither a nor b is in the form $0.d_1d_2 \cdots d_j9999 \cdots$. Then if $a = 0.a_1a_2 \cdots$, $b = 0.b_1b_2 \cdots$, $c = 0.c_1c_2 \cdots$ and $d = 0.d_1d_2 \cdots$ are such that $f(a, b) = f(c, d)$, we write

$$0.a_1b_1a_2b_2 \cdots = 0.c_1d_1c_2d_2 \cdots$$

According to uniqueness of decimal expression, it follows that $a_j = c_j$ and $b_j = d_j$. Hence f is an injection. The property is then proved by Schröder-Bernstein Theorem. \square

Note that the function f is not onto. In fact, we can find no pair mapping to the number $0.010191919191 \dots$ in $(0, 1)$, hence we need to show *double injectivity*.

The following is a slight modification to our final goal.

24. For $(a, b) \subset \mathbb{R}$, $(a, b) \approx (0, 1)$. The map can be chosen as $f(x) = (b - a)x + a$.

25. $(0, 1) \approx \mathbb{R}$. A bijection between them can be chosen as

$$f(x) = \frac{x}{1 + |x|}$$

from \mathbb{R} onto $(-1, 1)$ or

$$g(x) = \tan x$$

from $(-\frac{\pi}{2}, \frac{\pi}{2})$ to \mathbb{R} .

26. $(0, 1) \times (0, 1) \approx \mathbb{R} \times \mathbb{R}$.

Proof. Let $f : (0, 1) \rightarrow \mathbb{R}$ be bijective. Then

$$F(a, b) = (f(a), f(b)) \text{ on } (0, 1) \times (0, 1)$$

is a bijection that we desire. □

27. Since $\mathbb{R} \approx (0, 1)$, $(0, 1) \approx (0, 1) \times (0, 1)$, $(0, 1) \times (0, 1) \approx \mathbb{R} \times \mathbb{R}$, we conclude that $\mathbb{R} \approx \mathbb{R} \times \mathbb{R}$.

28. $\mathbb{R} \times \mathbb{R} \approx \mathbb{C}$, because we have the function $k(x, y) = x + iy$. Therefore we obtain the final equinumerosity

$$\mathbb{R} \approx \mathbb{C}.$$

Although we've shown that the five famous sets $\mathbb{N}, \mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ have only 2 "levels" about numbers of elements, yet we even have no idea about what an infinite set really is. Our intuition might tell us that a finite set must contain some n elements. That means, it must be equinumerous to some *standard set* which we consider to have n elements. In the following discussion, this standard set will naturally be chosen as $I_n := \{1, 2, 3, \dots, n\}$.

Except for the notion of an infinite set, we have another notion called countable sets. The difference from countability depends on whether the given set can be listed in a (finite of infinite) sequence.

29. We now make a convention that \mathbb{W} means the whole number set. i.e. $\mathbb{W} = \mathbb{N} \cup \{0\}$. Define $I_n := \{1, 2, \dots, n\} = \{k \in \mathbb{N} : 1 \leq k \leq n\}$, and $I_0 = \emptyset$, for convenience of later consideration.

30. A set S is called finite if $S \approx I_n$ for some $n \in \mathbb{W}$. If S is not finite, then S is called infinite. Note that the "new" notion leads to no contradiction about what are discussed before because we did not mention the word "infinite" previously.

31. A set K is called countable if all elements of K are in a (finite or infinite) sequence, i.e. if $K \approx I_n$ for some $n \in \mathbb{W}$ or $K \approx \mathbb{N}$. In the later case, K is also called denumerable. So K is countable if K is either finite or denumerable. If K is not countable, we say K is uncountable.

There are many "trivial" statements concerning infinite sets, but not all of them is easy to prove.

32. If S is an infinite set, and $T \subset S$, then either T or $S \setminus T$ is infinite.

Proof. Assume that S and $S \setminus T$ are both finite. Let $f : I_n \rightarrow S$ and $g : I_m \rightarrow S \setminus T$ be bijections. Then define $\varphi : I_{n+m} \rightarrow S$ as follow:

$$\varphi(x) = \begin{cases} f(x), & \text{if } x = 1, 2, 3 \cdots, n; \\ g(x - n), & \text{if } x = n + 1, n + 2, \cdots, n + m. \end{cases} \quad (6)$$

Then φ is one-to-one from I_{n+m} onto S , a contradiction to the fact that S is infinite. \square

33. The union of a finite set and a denumerable set is denumerable because, if the finite set is expressed as $\{a_1, a_2, \cdots, a_n\}$ while the other $\{b_1, b_2, \cdots\}$, then the union $\{a_1, \cdots, a_n, b_1, b_2, \cdots\}$ is equinumerous to a subset (why not exactly ?) of $\{\langle a, 1 \rangle, \cdots, \langle a, n \rangle, \langle b, 1 \rangle, \langle b, 2 \rangle, \cdots\}$. Moreover, $\mathbb{N} \preceq$ the union, we conclude that \mathbb{N} is equinumerous to the union.

34. The disjoint union of two denumerable sets are denumerable. Therefore, the disjoint union of n denumerable sets are also denumerable.

Proof. It easy to check that \mathbb{N} is equinumerous to $2\mathbb{N}$ and $2\mathbb{N}+1$ (We define $2\mathbb{N} = \{2, 4, 6, 8, \cdots\}$ and $2\mathbb{N}+1 = \{1, 3, 5, \cdots\}$). Let A, B be two disjoint sets. Choose $f : 2\mathbb{N} \rightarrow A, g : 2\mathbb{N}+1 \rightarrow B$ as bijections. Define $G : \mathbb{N} \rightarrow A \sqcup B$ by

$$G(x) = \begin{cases} f(x), & \text{if } x \text{ is even;} \\ g(x), & \text{if } x \text{ is odd.} \end{cases} \quad (7)$$

Then G shows that $A \sqcup B$ is denumerable. \square

35. How about the union of n denumerable sets?

How about a denumerable union of denumerable sets?

For the second assertion, we need some observation.

36. The denumerable union of pairwise disjoint denumerable sets are denumerable.

Proof. Denote these sets by S_1, S_2, \cdots , where

$$\begin{aligned} S_1 &= \{s_{11}, s_{12}, s_{13}, \cdots\} \\ S_2 &= \{s_{21}, s_{22}, s_{23}, \cdots\} \\ S_3 &= \{s_{31}, s_{32}, s_{33}, \cdots\} \\ &\vdots \end{aligned}$$

are their elements. Then the mapping $\langle i, j \rangle \leftrightarrow s_{ij}$ is bijective. Hence $\mathbb{N} \approx \bigcup_{n \in \mathbb{N}} S_n$. \square

37. A set S is countable if and only if $S \preceq \mathbb{N}$.

Proof. If S is denumerable, then $S \approx \mathbb{N}$ and hence $S \preceq \mathbb{N}$. If S is finite, then $S \preceq \mathbb{N}$ because $I_n \preceq \mathbb{N}$. Conversely, Let f be one-to-one from S into \mathbb{N} . Let $T = \text{Im}(f)$. Then

- (a) If T contains no maximum, then $\mathbb{N} \preceq T$ (why?). Since $T \subset \mathbb{N}$, we obtain that $\mathbb{N} \approx T$. Since f is onto T , it follows that $S \approx T$.
- (b) If T contains a maximum, say $n_0 \in \mathbb{N}$. Then $T \subset I_{n_0}$. Hence T is equinumerous to some I_m (why? This might be proved by induction.). Similarly, the assertion is proved by $S \approx T \approx I_m$.

□

38. The denumerable union of pairwise disjoint countable sets are countable.