Chapter 9 binary tree

Speaker: Lung-Sheng Chien

Reference book: Larry Nyhoff, C++ an introduction to data structures Reference power point: Enijmax, Buffer Overflow Instruction

OutLine

- Binary search versus tree structure
- Binary search tree and its implementation
 - insertion
 - traversal
 - delete
- Application: expression tree
 - convert RPN to binary tree
 - evaluate expression tree
- Pitfall: stack limit of recursive call

Recall linear search in chapter 6

• Data type of *key* and *base* are immaterial, we only need to provide comparison operator. In other words, framework of linear search is independent of comparison operation.

pseudocode

Given array base[0:n-1] and a search key key and base may have different data type $for \ j=0:1:n-1$ $if \ base[j]==key$ then return location of base[j]User-defined comparison operation endfor

return not-found

```
#include <stdio.h>
#include <stdlib.h>
#include <string.h>
#include "key.h"
// list of all C keywords, see page 192 of textbook
keyType keytab[] = {
    {"auto"
               ,0}, {"double",0}, {"int"
                                             ,0}, {"struct"
                                                             ,0},
                            ,0}, {"long"
    {"break"
               ,0}, {"else"
                                             ,0}, {"switch"
                                                             ,0},
    {"case"
               ,0}, {"enum" ,0}, {"reqister",0}, {"typedef"
                                                             ,0},
               ,0}, {"extern",0}, {"return" ,0}, {"union"
    {"char"
                                                             ,0},
    {"const"
               ,0}, {"float" ,0}, {"short"
                                             ,0}, {"unsigned",0},
                             ,0}, {"siqned"
    {"continue",0}, {"for"
                                             ,0}, {"void"
                                                             ,0},
    {"default" ,0}, {"goto"
                             ,0}, {"sizeof"
                                             ,0}, {"volatile",0},
    {"do"
               ,0}, {"if"
                             ,0}, {"static"
                                             ,0}, {"while"
                                                             ,0}
};
// quantity NKEYS is number of keywords in array keytab
#define NKEYS ( sizeof keytab / sizeof(keyType) )
int keyword cmp( char *keyval, keyType *datum )
{
    return strcmp( keyval, datum->word ) ;
}
        linear_search( const void *key, const void *base,
void*
        size_t n, size_t size,
        int (*cmp)(const void *keyval, const void *atum) );
int main( int argc, char* argv[] )
{
    char key[] = "endfor" ;
    keyType *found key ; // result of linear search
    qsort( (void*) keytab, (size_t) NKEYS, (size_t) sizeof(keyType),
         (int (*)(const void*, const void*)) &keyword cmp );
    found key = (keyType*)
                             linear search( key, keytab,
                                NKEYS, sizeof(keyType),
              (int (*)(const void*, const void*)) &keyword_cmp );
    if ( NULL == found key ){
        printf(" \"%s\" is not a keyword\n", key);
    >else{
        printf(" \"%s\" is a keyword\n", found_key->word );
    }
    return 0 ;
```

}

linear search for structure-array

1. search *key* must be consistent with *keyval* in comparison operator, say *key* and *keyval* have the same data type, pointer to content of search key

keytab[i] must be consistent with
 found_key, they must be the same type and such type has sizeof(keyType) bytes

F:\COURSE\2008SUMMER\C_LA

"endfor" is not a keyword Press any key to continue

binary search in chapter 6

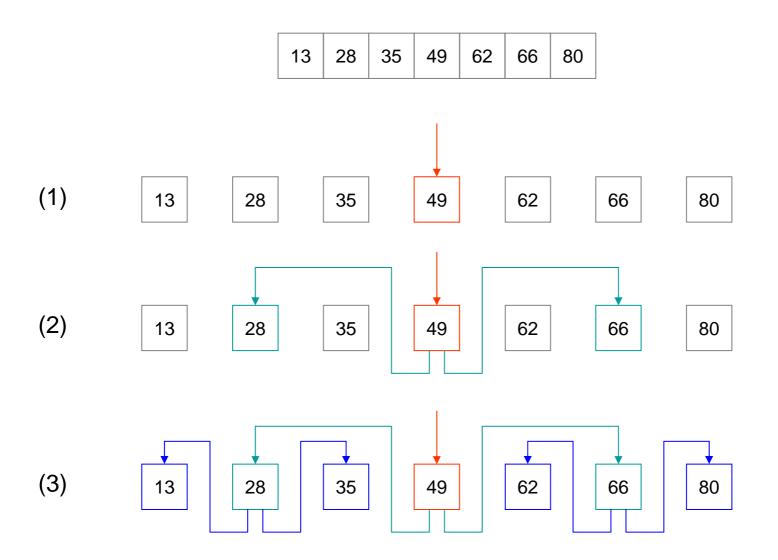
```
#include <stddef.h>
/* Given keyType array base[0], ... base[n-1]
  check if key is a keyword in array base */
void*
        binsearch( const void *key, const void *base,
        size_t n, size_t size,
        int (*cmp)(const void *keyval, const void *datum)
{
    size t low, high, mid ; // index of array base,
           // always keep low < mid < high</pre>
    int cond ; // comparison result of key and base[i]
    char *a i ; // &base[i]
    char *a = (char*) base ;
    low = 0 ; high = n ;
    while( low < high ){</pre>
        mid = low + (hiqh - low)/2;
        a i = a + size*mid ;
        cond = (*cmp)( key, a i ) ;
        if ( 0 > cond )
            hiqh = mid ;
        else if ( 0 < cond )
            low = mid + 1;
        else
            return a_i ;
    return NULL ; // not found
}
```

#include <stddef.h>

```
/* Given keyType array base[0], ... base[n-1]
   check if key is a keyword in array base */
void* linear_search( const void *key, const void *base,
        size_t n, size_t size,
    int (*cmp)(const void *keyval, const void *atum)
{
    size t i ;
    char *a i ; // &base[i]
    char *a = (char*) base ;
    for( i=0 ; i < n ; i++ ){</pre>
        a i = a + size*i ;
        if ( 0 == (*cmp)( key, a i ) ){
            return a i ;
        }
    return NULL ; // not found
}
```

since "endfor" is not a keyword, under linear search algorithm, we need to compare all keywords to reject "endfor". We need another efficient algorithm, binary search, which is the best.

step-by-step of binary search [1]



step-by-step of binary search [2]

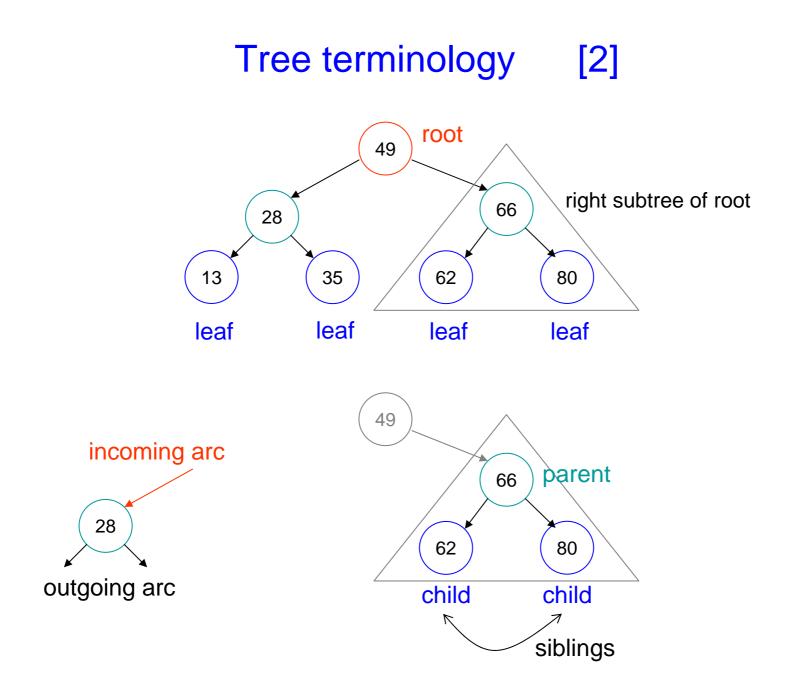
Equivalent tree structure

Question: Does binary-search work on sorted Linked-List?



Tree terminology [1]

- A tree consists of a finite set of elements called nodes and a finite set of directed arcs that connect pairs of nodes.
- "root" is one node without incoming arc, and every other node can be reached from root by following a unique sequence of consecutive arcs.
- Leaf node is one node without outgoing arc.
- child node is successor (繼承者) of parent node, parent node is predecessor (被繼承者) of child node
- Children with the same parent are siblings (兄弟姐妹) of each other



OutLine

- Binary search versus tree structure
- Binary search tree and its implementation
 - insertion
 - traversal
 - delete
- Application: expression tree
 - convert RPN to binary tree
 - evaluate expression tree
- Pitfall: stack limit of recursive call

Binary Search Tree (BST)

- Collection of data elements (data storage)

 a binary tree in which for each node x:
 value in left child of x <= value in x <= value in right child of x
- Basic operations (methods)
 - construct an empty BST
 - determine if BST is empty
 - search the BST for a given item
 - Insert a new item in the BST and maintain BST property
 - delete an item from the BST and maintain BST property
 - Traverse the BST and visit each node exactly once. At least one of the traversals, called an inorder traversal, must visit the values in the nodes in ascending order

Variant of BST

- **Treap:** a <u>binary search tree</u> that orders the nodes by adding a random *priority* attribute to a node, as well as a key. The nodes are ordered so that the keys form a binary search tree and the priorities obey the max heap order property.
- **red-black tree**: a type of <u>self-balancing binary search tree</u>, a <u>data</u> <u>structure</u> used in <u>computer science</u>, typically used to implement <u>associative arrays</u>.
- **Heap:** a specialized <u>tree</u>-based <u>data structure</u> that satisfies the *heap* property: if B is a <u>child node</u> of A, then $key(A) \ge key(B)$.
- AVL tree: a self-balancing binary search tree.
- **B-tree:** a <u>tree data structure</u> that keeps data sorted and allows searches, insertions, and deletions in logarithmic <u>amortized</u> time. It is most commonly used in <u>databases</u> and <u>filesystems</u>.
- threaded <u>binary tree</u>: possible to traverse the values in the <u>binary</u> <u>tree</u> via a linear traversal that is more rapid than a recursive <u>in-order</u> <u>traversal</u>.

Requirement of BST

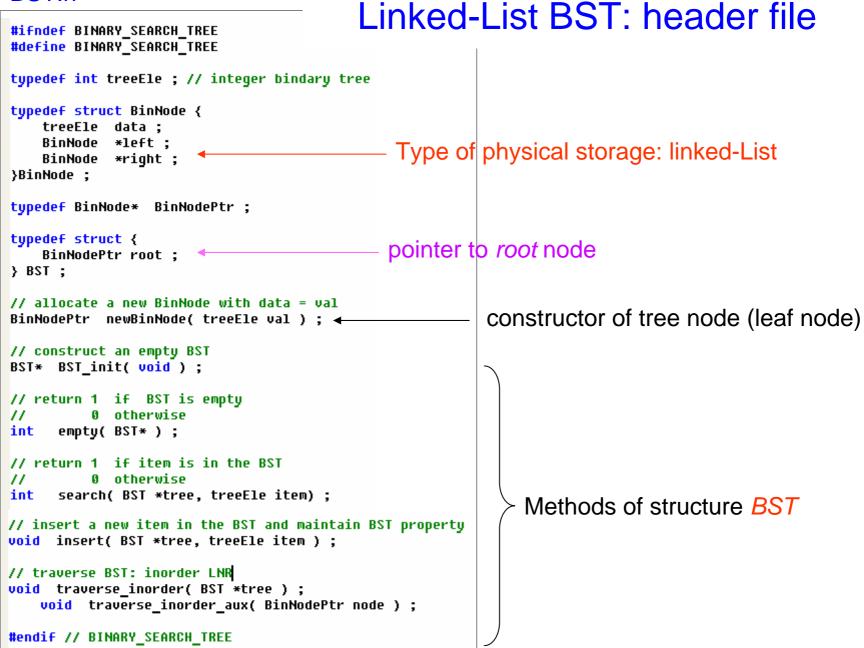
integrate into structure **BST**

- treeEle: data type
- type of physical storage: linked-list
- ordered mechanism: depends on *treeEle*
- pointer to root node

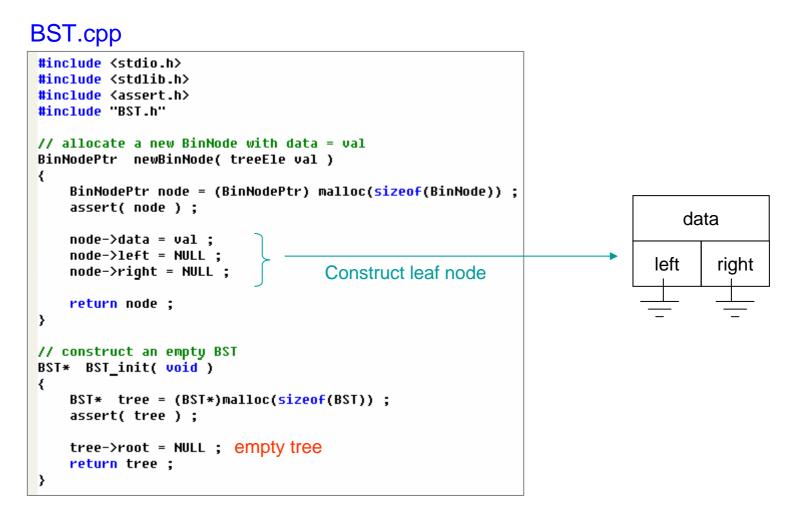
Methods of structure **BST**

- BST* BST_init(void)
 - int empty(BST*)
 - int search(BST*, treeEle)
 - void insert(BST*, treeEle)
 - void remove(BST*, treeEle)
 - void traverse(BST*)

BST.h



BST method: constructor (建構子)



Data encapsulation: user does not see function newBinNode

BST method: binary search

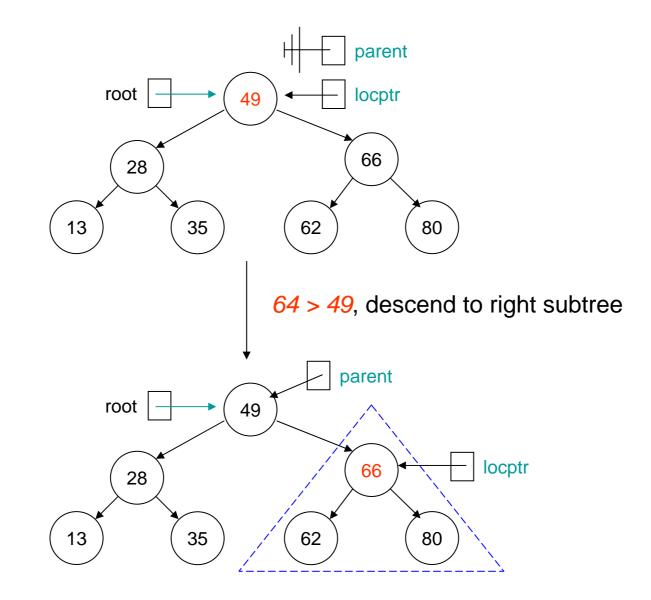
BST.cpp

```
// return 1 if BST is empty
11
          0 otherwise
     empty( BST *tree )
int
{
   assert( tree ) ;
   return (NULL == tree->root) ;
}
// return 1 if value is in the BST
          0 otherwise
11
     search( BST *tree, treeEle item ) binary search
int
{
    assert( tree ) ;
   BinNodePtr locPtr = tree->root ;
    int found = 0 ;
                                                                                data
    while(1) {
                                                             item < data
                                                                                           item > data
       if ( found || (NULL == locPtr) ) { break ; }
       if ( item < locPtr->data ){
           locPtr = locPtr->left ;
        }else if ( item > locPtr->data ){
           locPtr = locPtr->right ;
        }else{
                                                               left-subtree
                                                                                        right-subtree
           found = 1;
        }
    }
   return found ;
}
```

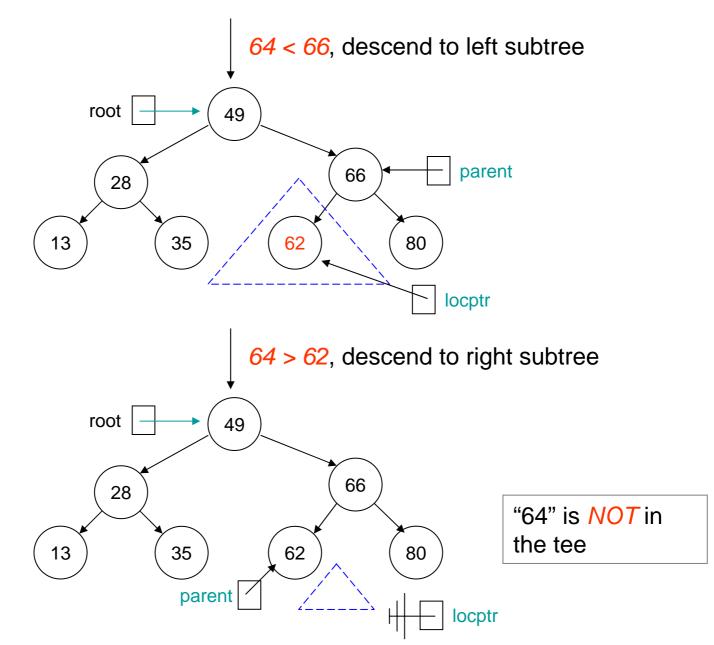
OutLine

- Binary search versus tree structure
- Binary search tree and its implementation
 - insertion
 - traversal
 - delete
- Application: expression tree
 - convert RPN to binary tree
 - evaluate expression tree
- Pitfall: stack limit of recursive call

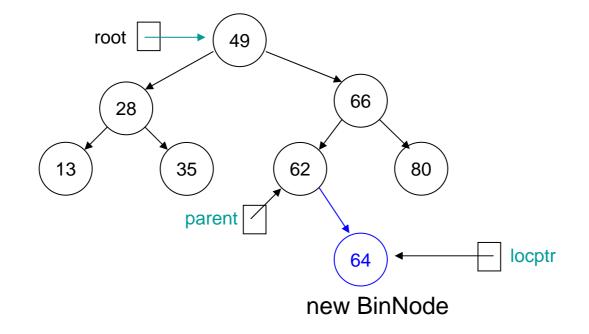
BST method: insert "64" into tree [1]



BST method: insert "64" into tree [2]



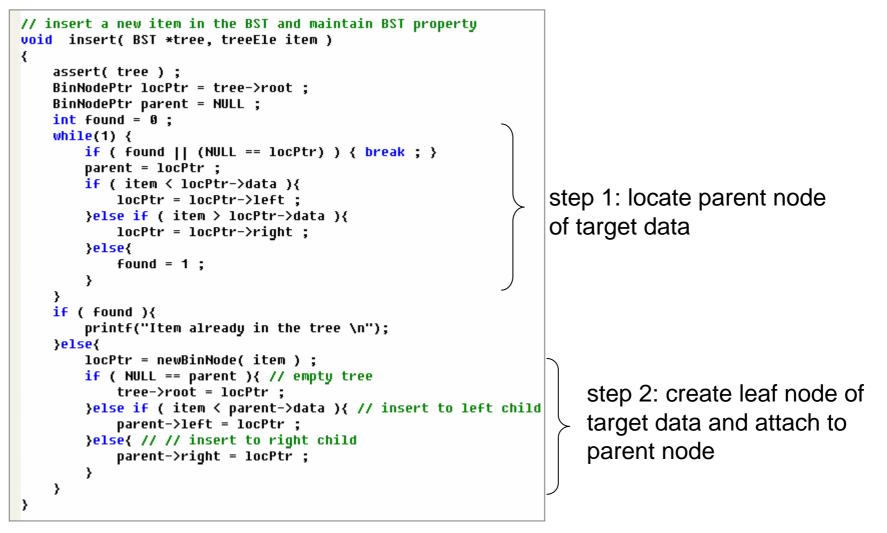
BST method: insert "64" into tree [3]



- Step 1: locate where a given item is to be inserted and set its parent node to pointer parent
- Step 2: construct a leaf node with data = "64" and attach to node pointed by pointer, *parent*.

BST method: insert [4]

BST.cpp

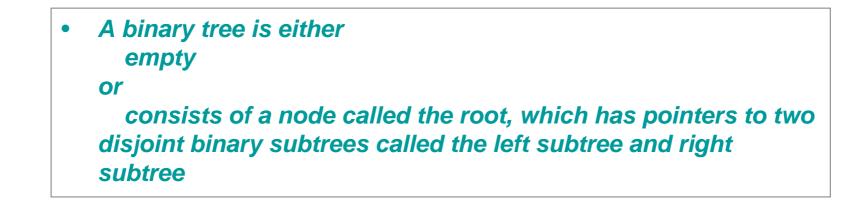


Question: why need we to compare item and parent->data again in step 2?

OutLine

- Binary search versus tree structure
- Binary search tree and its implementation
 - insertion
 - traversal
 - delete
- Application: expression tree
 - convert RPN to binary tree
 - evaluate expression tree
- Pitfall: stack limit of recursive call

Recursive definition of a binary tree



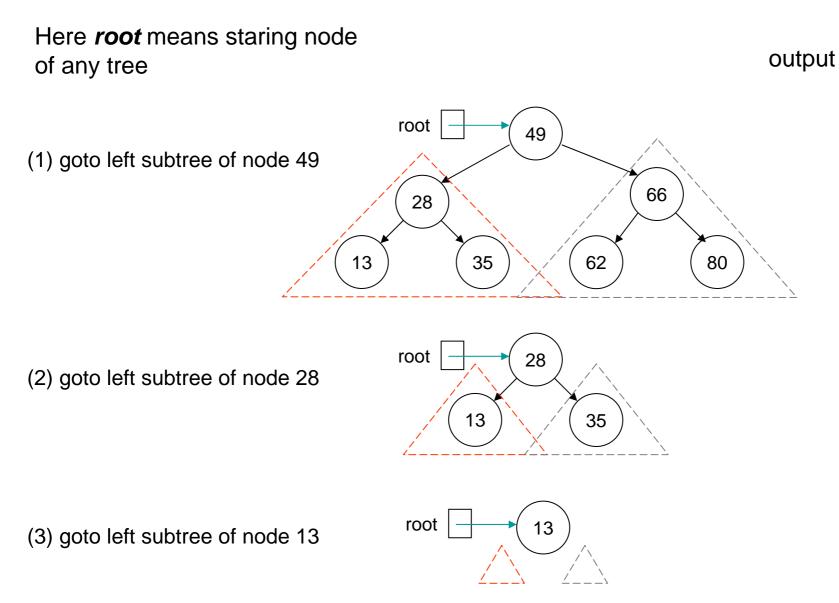
BST.cpp

```
void traverse_inorder( BST *tree )
{
    assert( tree ) ;
    traverse_inorder_aux( tree->root ) ;
    printf("\n");
}
void traverse_inorder_aux( BinNodePtr node )
{
    if ( NULL == node ) { return ; }
    traverse_inorder_aux( node->left ) ;
    printf("%d ", node->data ) ;
    traverse_inorder_aux( node->right ) ;
}
```

 In-order traversal traverse the left subtree visit the root and process its content traverse the right subtree

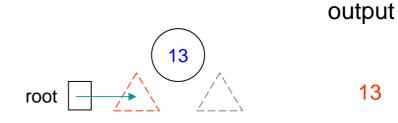
[~]Termination condition

Inorder traversal [1]



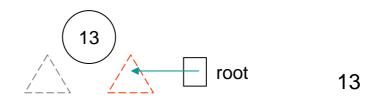
Inorder traversal

(4) root is NULL, output 13goto right subtree of node 13

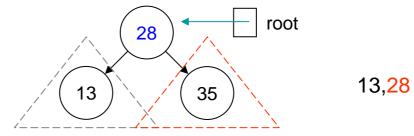


[2]

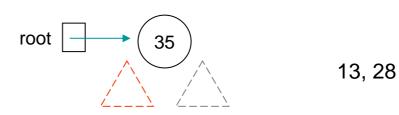
(5) root is NULL, all children of node13 have been visited,go back to node 28



(6) output node 28, goto right subtree of node 28



(7) goto left subtree of node 35

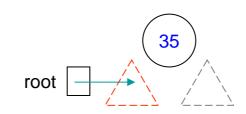


Inorder traversal

[3]

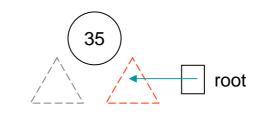


(8) root is NULL, output 35, goto right subtree of node 35

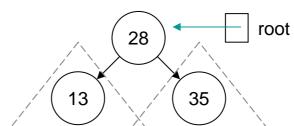


13, 28, <mark>35</mark>

- (9) root is NULL, all children of node 35 have been visited, go back to node 28
- (10) All children of node 28 have been traversed, go back to node 49

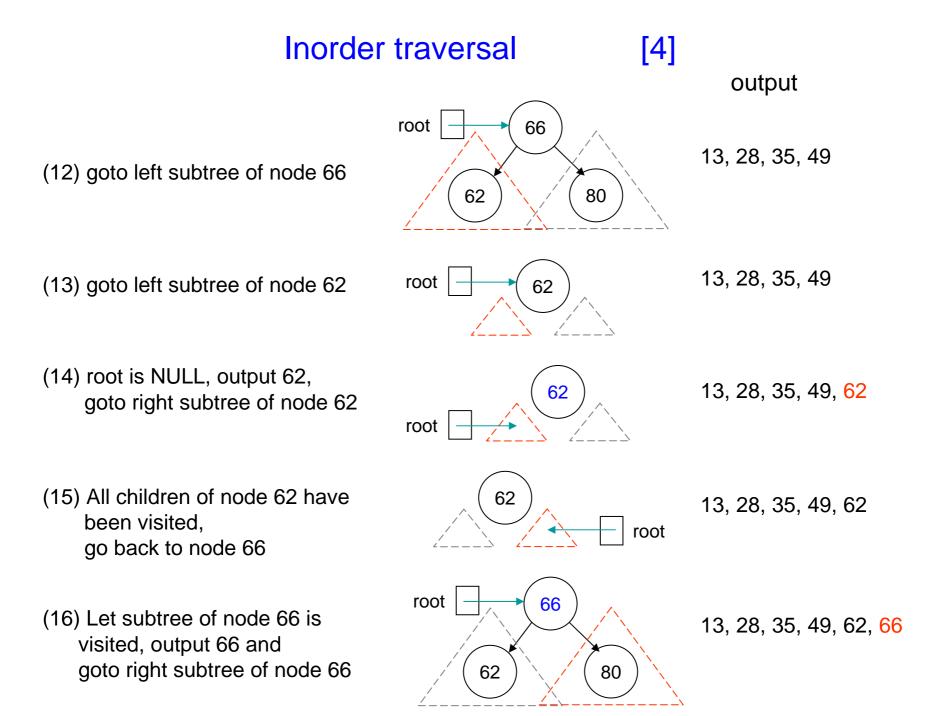


13, 28, 35



13, 28, 35

(11) left-subtree of node 49 have been traversed, output 49 and goto right subtree 13, 28, 35, 49 (13) 35 (62) (80)

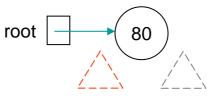


Inorder traversal

[5]

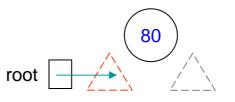
output

(17) goto left subtree of node 80



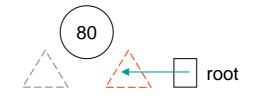
13,28,35,49,62,66

(18) root is NULL, output 80 and goto right subtree of node 80



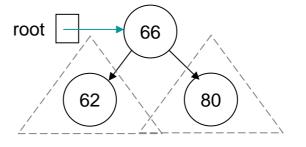
13,28,35,49,62,66,80

(19) All children of node 80 have been visited, go back to node 66



13,28,35,49,62,66,80

(20) All children of node 66 have been visited, go back to node 49



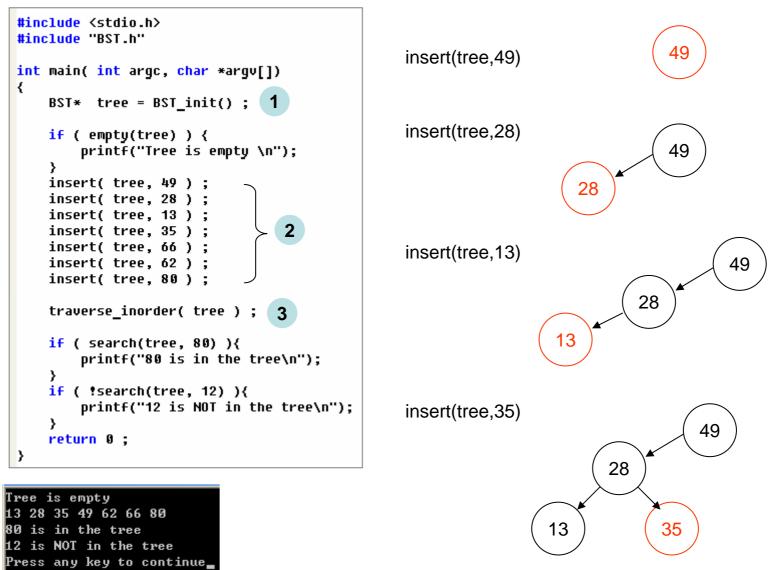
13,28,35,49,62,66,80

Inorder traversal [6] output (21) All children of node root 13,28,35,49,62,66,80 49 have been visited, terminate

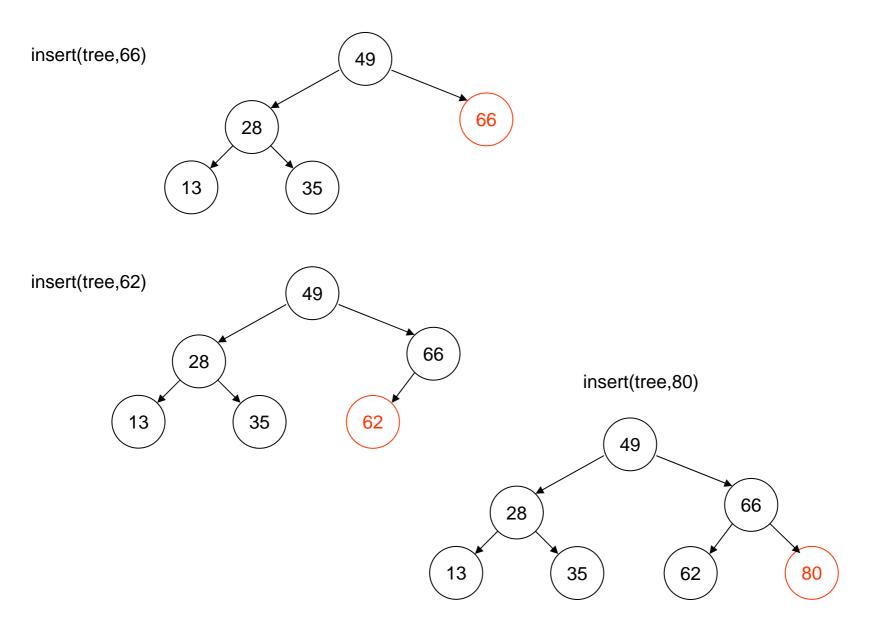
Inorder in BST is ascending order, why?

Driver for Inorder traversal [1]

main.cpp

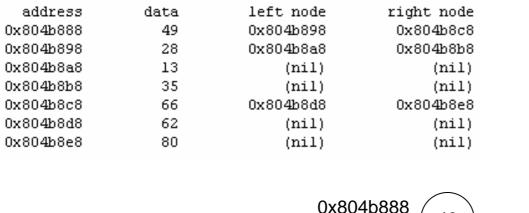


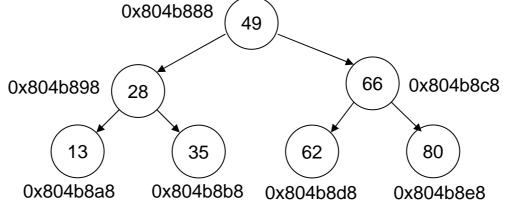
Driver for Inorder traversal [2]



Exercise

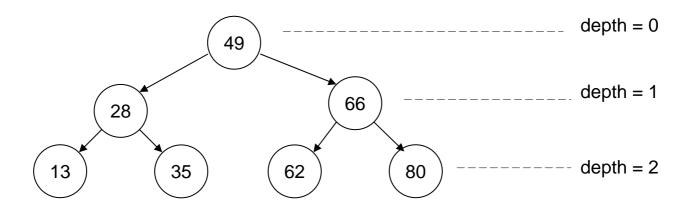
 Implement integer BST with methods *newBinNode*, *BST_init*, *empty*, *search*, *insert* as we discuss above and write a method (function) to show configuration of BST as follows.





Exercise

- Use recursive call to implement methods search and insert.
- Write a method to compute maximum depth of a BST.

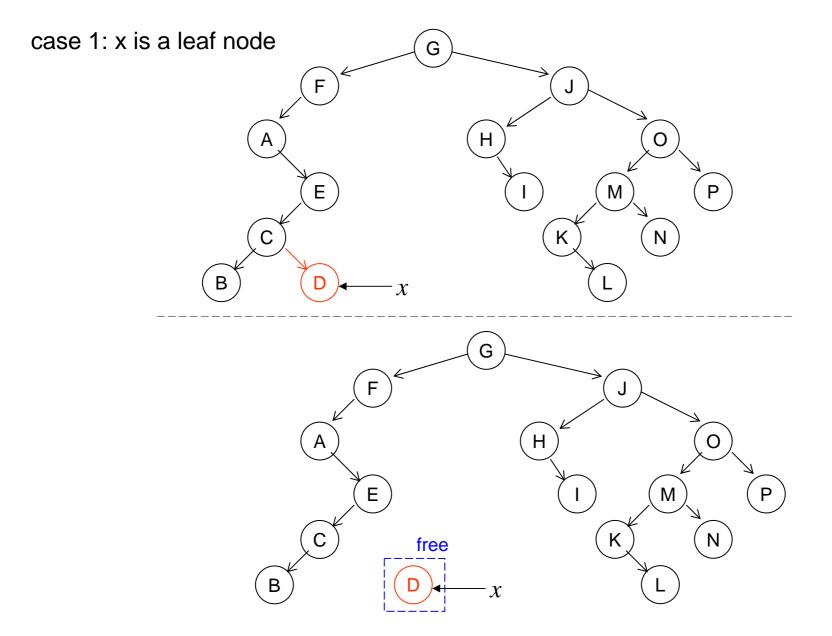


- What is topology of a BST created by inserting 13, 28, 35, 49, 62, 66, 80 in turn.
- Can you modify an unbalanced BST into a balanced one?

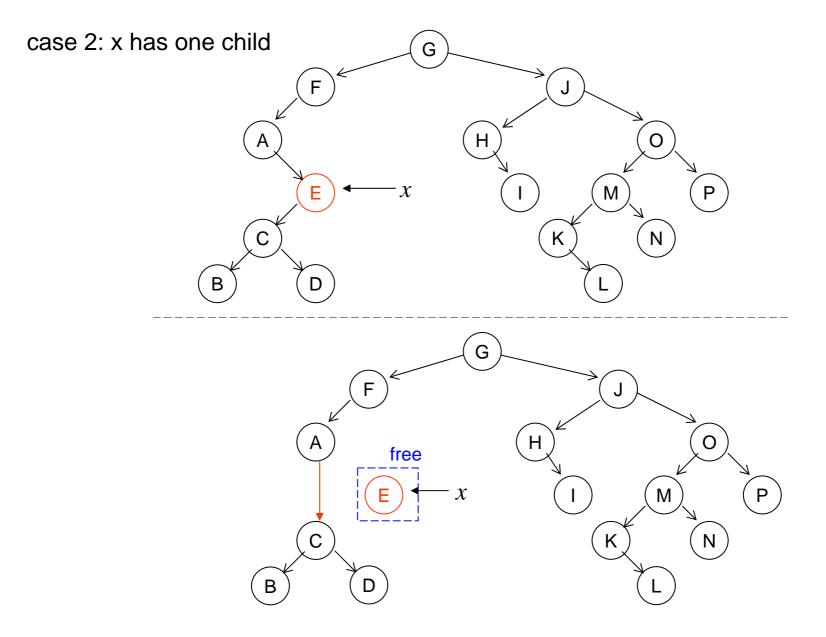
OutLine

- Binary search versus tree structure
- Binary search tree and its implementation
 - insertion
 - traversal
 - delete
- Application: expression tree
 - convert RPN to binary tree
 - evaluate expression tree
- Pitfall: stack limit of recursive call

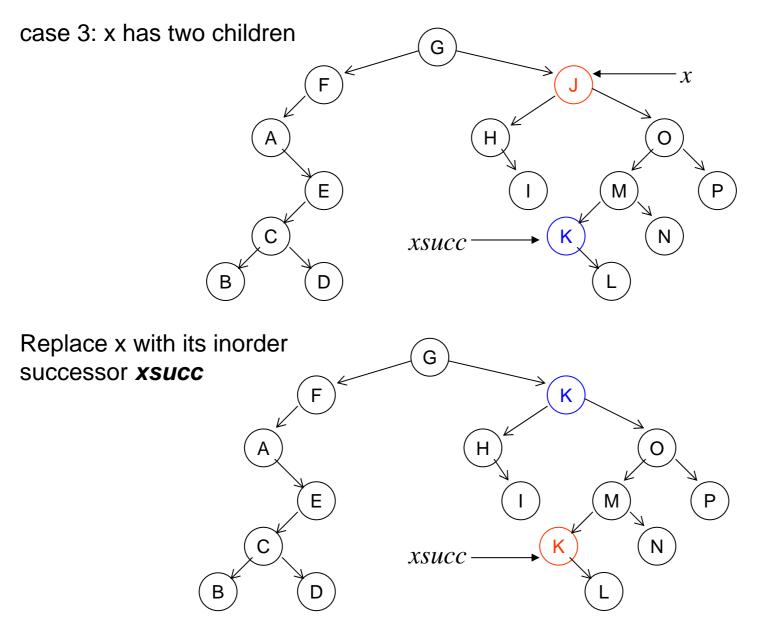
Delete a node x from BST [1]



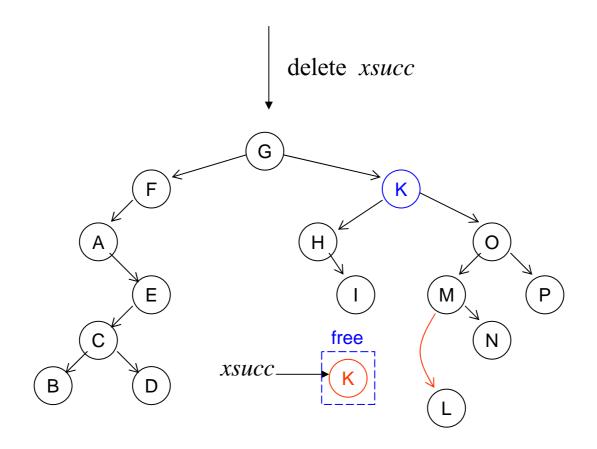
Delete a node x from BST [2]



Delete a node x from BST [3]



Delete a node x from BST [4]

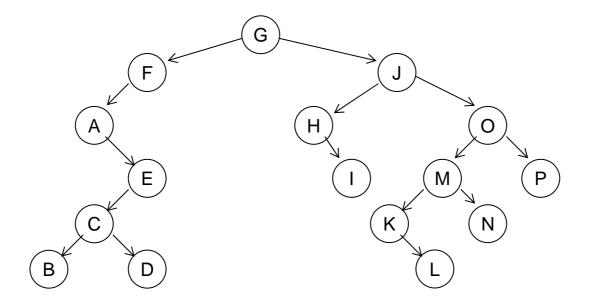


BST method: remove item

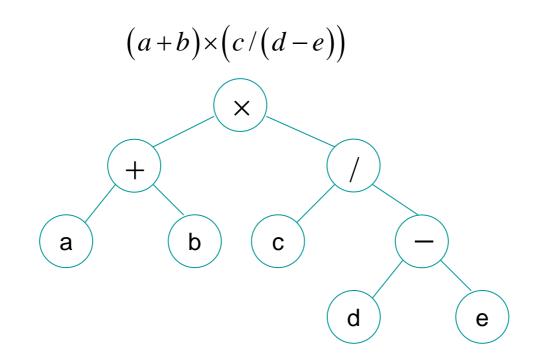
```
void remove( BST *tree, treeEle item )
{
    int found ;
    BinNodePtr x, parent;
    found = search2( tree, item, &x, &parent) ;
    if ( !found ){
        printf("Item is not in the BST \n");
        return ;
    }
    if ( (NULL != x->left) && (NULL != x->right) ){
// x has two children
// find x's inorder successor and its parent
        BinNodePtr xsucc = x->right ;
        parent = x ;
        while( NULL != xsucc->left ){
            parent = xsucc ;
            xsucc = xsucc->left ;
        }
// move content of xsucc to x and change x to
// point to successor which will be deleted
        x->data = xsucc->data ;
        x = xsucc:
    }// if x has two children
// proceed with case where node x has 0/1 child
    BinNodePtr subtree = x->left ;
    if ( NULL == subtree){
        subtree = x->right ;
    }
    if ( NULL == parent ){
        tree->root = subtree ;
    }else if ( x == parent->left ){
        parent->left = subtree ;
    }else{
        parent->right = subtree ;
    }
    free(x) ;
}
```

```
// locates node containing an item and its parent
      search2( BST *tree, treeEle item,
int
    BinNodePtr *locPtr, BinNodePtr *parent )
{
    assert( tree ) ;
    *locPtr = tree->root ;
    *parent = NULL ;
    int found = 0 ;
    while(1) {
        if ( found || (NULL == locPtr) ) { break ; }
        if ( item < (*locPtr)->data ){
            *parent = *locPtr ;
            *locPtr = (*locPtr)->left ;
        }else if ( item > (*locPtr)->data ){
            *parent = *locPtr ;
            *locPtr = (*locPtr)->right ;
        >else{
            found = 1;
        }
    }
   return found ;
}
```

- Implement method *remove* and write a driver to test it, you can use following BST as test example. Note: you need to test all boundary cases
- Use recursive call to implement methods remove.



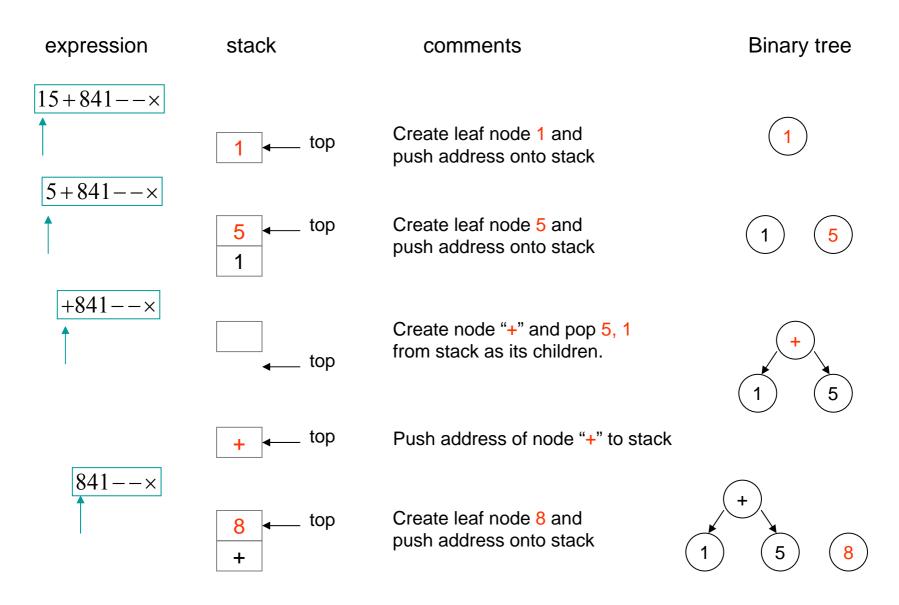
- Construct following expression tree (note that you may need general binary tree, not BST) and show its configuration.
- Show result of pre-order (prefix), in-order (infix) and post-order (postfix) respectively.



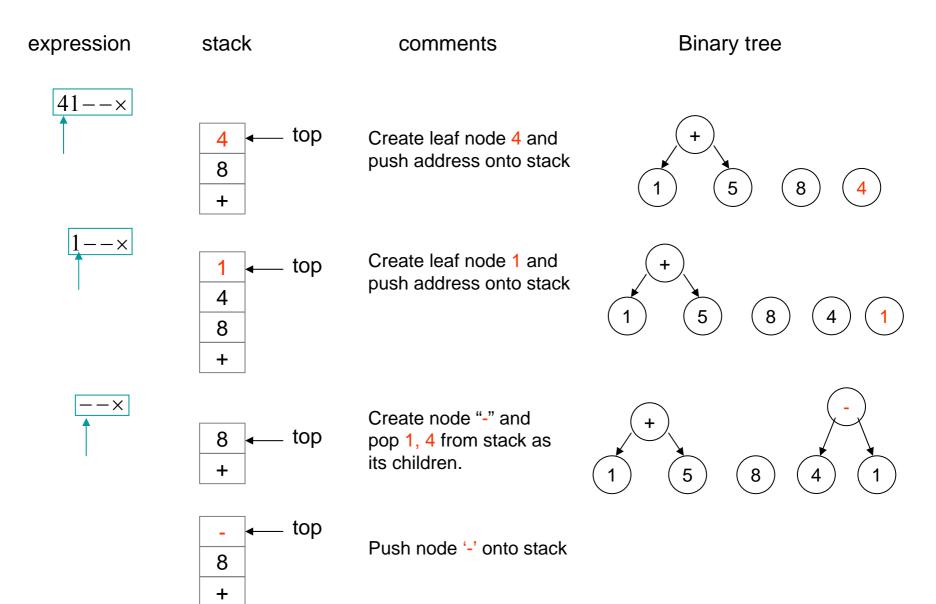
OutLine

- Binary search versus tree structure
- Binary search tree and its implementation
 - insertion
 - traversal
 - delete
- Application: expression tree
 - convert RPN to binary tree
 - evaluate expression tree
- Pitfall: stack limit of recursive call

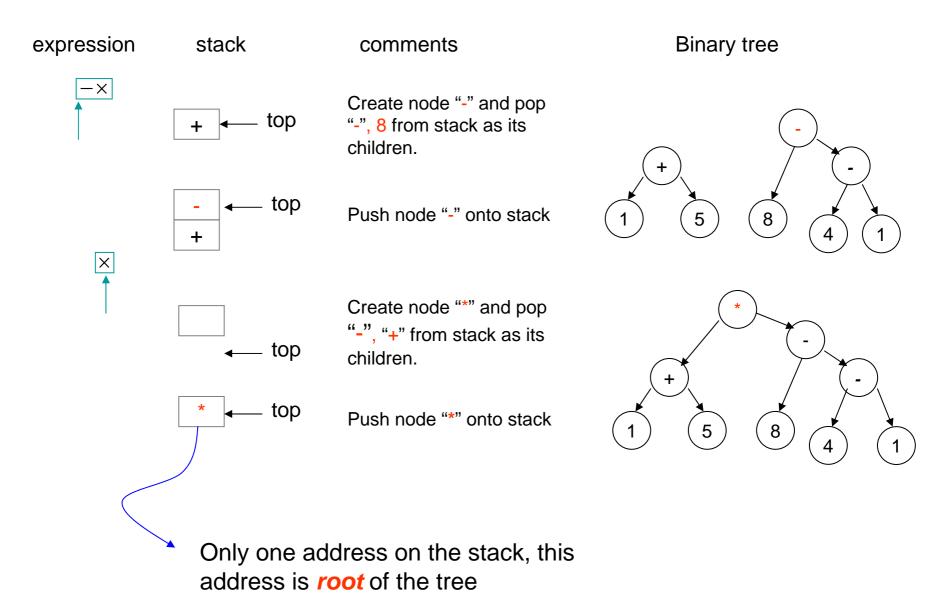
Convert RPN expression to expression tree [1]



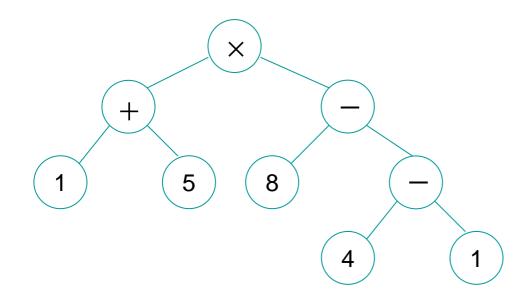
Convert RPN expression to expression tree [2]



Convert RPN expression to expression tree [3]



- Depict flow chart of "convert RPN expression to expression tree".
- Write program to do "convert RPN expression to expression tree", you can use following expression tree as test example.
- Use above binary tree to evaluate result (stack free, just traverse the binary tree).



infix:
$$(1+5) \times (8-(4-1))$$

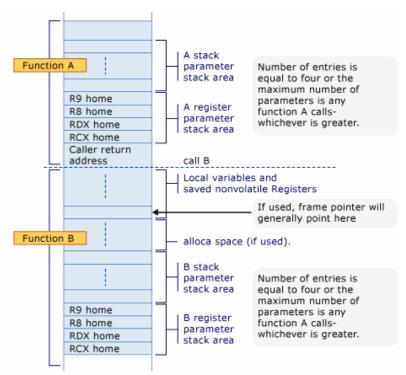
postfix: $15+841--\times$ parenthesis free

OutLine

- Binary search versus tree structure
- Binary search tree and its implementation
 - insertion
 - traversal
 - delete
- Application: expression tree
 - convert RPN to binary tree
 - evaluate expression tree
- Pitfall: stack limit of recursive call

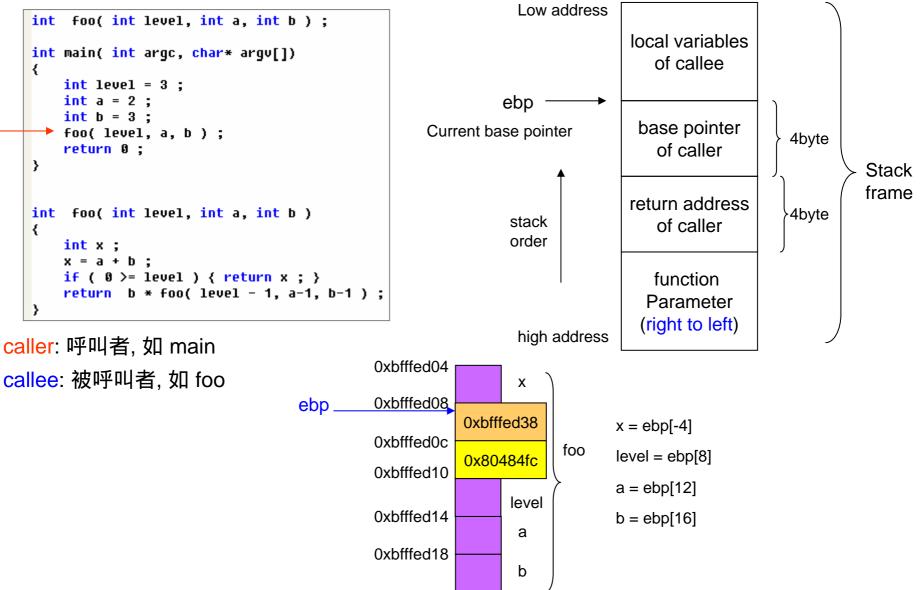
Stack allocation in VC2005

- A function's prolog (prolog code sequence 起始設定) is responsible for allocating stack space for local variables, saved registers, stack parameters, and register parameters.
- The parameter area is always at the bottom of the stack, so that it will always be adjacent to the return address during any function call.
- The stack will always be maintained 16-byte aligned, except within the prolog (for example, after the return address is pushed), and except where indicated in <u>Function</u>
 <u>Types</u> for a certain class of frame functions.
- When you define a local variable, enough space is allocated on the stack frame to hold the entire variable, this is done by compiler.
- Frame variabels are automatically deleted when they go out of scope. Sometimes, we call them **automatic variables**.

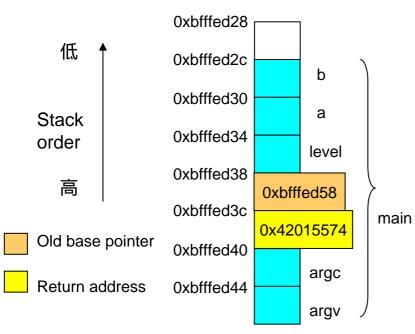


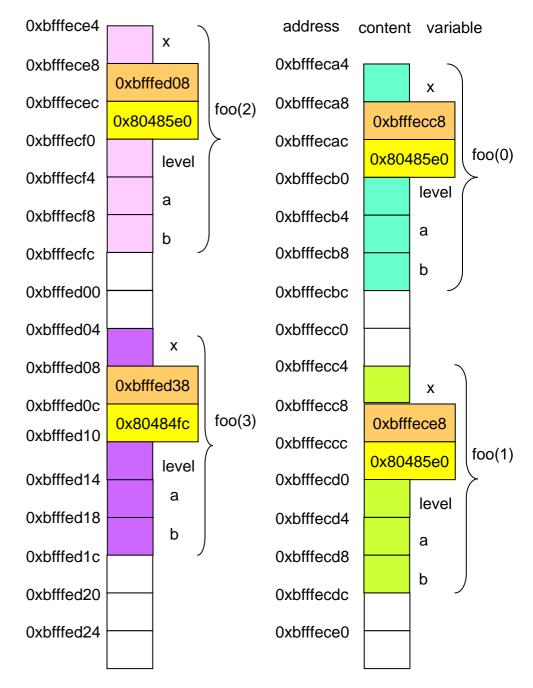
Stack frame by g++

g++ -O0 main.cpp



```
int foo( int level, int a, int b );
int main( int argc, char* argv[])
{
    int level = 3 ;
    int a = 2:
    int b = 3;
    foo( level, a, b ) ;
    return 0 ;
}
int foo( int level, int a, int b )
{
    int x ;
    x = a + b:
    if (0 \ge 1evel) { return x ; }
   return b * foo( level - 1, a-1, b-1 );
}
```





Actions to call a function

- Caller push parameters of callee to stack
- Caller execute command *call*, for example "call _Z3fooiii".
 push return address (address of caller) to stack
 - program counter points to function code address
- In callee
 - push old *ebp* (base pointer of caller) to stack
 - copy esp to ebp (ex: movl %esp, %ebp)
 - reserve enough space for local variables
- When function return to caller
 - callee move *sp* (*stack pointer*) to return address
 - callee execute command *ret*, and then <u>program counter</u> points to return address
 - caller pop base pointer to restore original status

Cost to call a function

- Function calls (including parameter passing and placing object's address on the stack)
- Preservation of caller's stack frame
- Return-value communication
- Old stack-frame restore
- Return (give program control back to caller)
- recursive call is easy to implement and code size is minimum, however we need to pay a little overhead. That's why we do not like recursive call when dealing with computational intensive task.

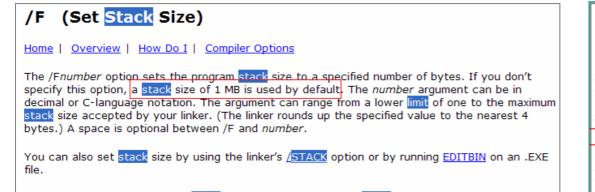
Exercise: write quick sort with recursive version and non-recursive version, then compare performance between them.

- Modify following code to show address of function parameter, local variable and content of return address, base pointer.
 Use "g++ -O0" to compile your code on workstation and check configuration of stack frame.
- What is configuration of stack frame using icpc –O0 ?
- What is configuration of stack frame in VC6.0?
- Is configuration of stack frame the same for each execution? Why?
- What's size of function prolog for compiler g++, icpc and vc6?

```
int foo( int level, int a, int b );
int main( int argc, char* argv[])
{
    int level = 3 ;
    int a = 2;
    int b = 3;
    foo( level, a, b ) ;
    return 0 ;
}
int foo( int level, int a, int b )
{
    int x ;
    x = a + b ;
    if ( 0 \ge 1 \text{ evel} ) { return x ; }
    return b * foo( level - 1, a-1, b-1 );
}
```

Stack limit

- In RedHat 9, 32-bit machine, default stack size is 8MB. Use command "ulimit -a" to show this information.
- Visual studio C++ 6.0, default stack size is 1MB



You may want to increase the stack size if your program gets stack-overflow diagnostic messages.

[imsl@linux tree_int]\$ ulimit -a						
core file size	(blocks, -c)	0				
data seg size	(kbytes, -d)	unlimited				
file size	(blocks, -f)	unlimited				
max locked memory	(kbytes, -1)	unlimited				
max memory size	(kbytes, -m)	unlimited				
open files	(-n)	1024				
pipe size (S	512 bytes, -p)	8				
stack size	(kbytes, -s)	8192				
cpu time	(seconds, -t)	unlimited				
max user processes	(-u)	7168				
virtual memory	(kbytes, -v)	unlimited				
[imsl@linux tree_int]\$						

Test stack limit in VC6.0

```
#include <stdio.h>
#define MAXBUFFER 1024
void foo( int level ) ;
int main(int argc, char* argv[])
{
    foo(1024);
    return 0 ;
}
void foo( int level )
{
    char word[MAXBUFFER] ; // 1kB buffer in stack frame
    if ( 0 >= level ) { return ; }
    printf("word[last] = %c, level = %d\n",
        word[MAXBUFFER-1], level);
    foo( level-1 ) ;
}
```

Recursive call

word[last]	=	?	level	=	118
word[last]	=	?	level	=	117
word[last]	=	?	level	=	116
word[last]	=	?	level	=	115
word[last]	=	?	level	=	114
word[last]	=	?	level	=	113
word[last]	=	?	level	=	112
word[last]	=	?	level	=	111
word[last]	=	?	level	=	110
word[last]	=	?	level	=	109
word[last]	=	?	level	=	108
word[last]	=	?	level	=	107
word[last]	=	?	level	=	106
word[last]	=	?	level	=	105
word[last]	=	?	level	=	104
word[last]	=	?	level	=	103
word[last]	=	?	level	=	102
word[last]	=	?	level	=	101
word[last]	=	?	level	=	100
word[last]	=	?	level	=	99
word[last]	=	?	level	=	98
word[last]	=	?	level	=	97
word[last]	=	?	level	=	96
word[last]	=	?	level	=	95 🔒
Press any key to continue					

Level number cannot reach 1 since stack overflow

modify stack limit in VC6.0

/STACK (Stack Allocations)

| Overview | How Do I | Linker Options

The Stack Allocations (/STACK:reserve[,commit]) option sets the size of the stack in bytes.

To find this option in the development environment, click **Settings** on the **Project** menu. Then click the **Link** tab, and click Output in the Category box. The Reserve text box (or in the *reserve* argument on the command line) specifies the total stack allocation in virtual memory. The default stack size is 1 MB. The linker rounds up the specified value to the nearest 4 bytes.

Project Settings				
Settings For: Win32 Debug	General Debug Fortran C/C++ Link Reso			
	Category: Output			
	Base address: Entry-point symbol:			
	Stack allocations			
	Reserve: Commit: 4096000 4MB			
	4030000			
Version information				
	Major: Minor:			
	Project <u>O</u> ptions:			
	kernel32.lib user32.lib gdi32.lib winspool.lib comdlg32.lib advapi32.lib shell32.lib ole32.lib			
	oleaut32.lib uuid.lib odbc32.lib odbccp32.lib			

- Write driver to test stack limit in VC6.0 and modify stack size in project setting dialog, does it work?
- Use the same driver, test stack limit on workstation with compiler g++ and icpc respectively. Is stack size independent of compiler?
- if we modify function foo such that local variable word is of no use what's stack size on workstation?

```
void foo( int level )
{
    char word[MAXBUFFER] ; // 1kB buffer in stack frame
    if ( 0 >= level ) { return ; }
    printf("level = %d\n", level);
    foo( level-1 ) ;
}
```

Local variable word is of no use.